

Family Investment Planning Inc.

SureGain™ Investments

Disclosure Document

SureGain™ Investments is a registered trademark used by Family Investment Planning Inc. under license to promote awareness and discussion relating to the many different investment products available to an investor. A SureGain™ Investment is one which guarantees a specific rate of return over a specific period of time. SureGain™ Investments fall into three distinct categories.

1. G.I.C.'s, bonds or similar investment products guaranteed by the Federal or Provincial governments or government approved agencies if held to maturity.
2. A combination of investments with sufficient weighting of products referred to in #1 to guarantee a positive result over a specific period of time. (Reference: Manulife "Power of Two®" and use financial planning calculator to design portfolio.)
3. A guaranteed mutual fund investment program (such as the Manulife Insured Portfolio) or an investment program which is guaranteed by a third party (ie: major financial institution) approved by the regulators.

An investment portfolio consisting of equity holdings in 500 or more well-established, globally recognized, publicly traded companies **is not** a SureGain™ Investment. Even if held for an extended period of time (I.e. 20 years), there is still a possibility that a negative return could be realized due to unforeseen and unprecedented circumstances.

NOTE

All forms of guaranteed investments must be held to maturity for the investor to be guaranteed a positive return.

ACKNOWLEDGEMENT

My Investment Advisor _____ has provided me with sufficient information to allow me to make an informed decision relating to the types of investment products best suited to help me achieve my financial goals.

Based on information provided, I hereby direct my Investment Advisor to create a portfolio with _____% of my assets to be invested in guaranteed products.

Reference Investment Selection Guide dated: _____

Client Signature

Advisor's Signature

Date

Compliance Officer Approval

Date

PROCEEDS OF CRIME (MONEY LAUNDERING) & TERRORIST FINANCING ACT

The Act requires that securities dealers ascertain the identity of a client when opening an account, by means of acceptable government identification. For a document to be acceptable for identification purposes, it must have a unique identifier number. Also, the document must have been issued by a provincial, territorial or federal government. NOTE: Ontario Health Cards are not acceptable as identification.

#1 ID Type: _____ #2 ID Type: _____

Document # _____ Document # _____

Client Initials _____

ROUTE 60™ LEVERAGED INVESTMENT PROGRAM DISCLOSURE DOCUMENT

Route 60™ is a registered trademark used by Family Investment Planning Inc. under license to promote awareness and discussion of the benefits and risks involved when borrowing money to invest. When borrowing money to invest, the Provincial Securities Commission requires that a separate disclosure document be completed and kept on file for future reference.

Complete Form # F.L.I. 101